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AUDITING AND GOVERNANCE RISK AND COMPLIANCE | AGRC-007

# Risk-Based Auditing

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# Course content

## Why Attend

Why Attend Risk-based auditing enables organizations to focus audit resources on the areas of highest risk and greatest value. Instead of reviewing activities in isolation, modern auditors are expected to assess strategic, operational, financial, and compliance risks while supporting stronger governance and decision-making. This course provides participants with practical tools to develop risk-based audit plans, evaluate enterprise risk management processes, and conduct effective audits of complex business activities.

Course Methodology This course uses an interactive and practical approach through presentations, case studies, audit workshops, risk assessments, group discussions, practical exercises, and real workplace examples.

## Course Objectives

- Understand modern risk-based auditing principles
- Link internal audit with enterprise risk management
- Evaluate risk management processes and controls
- Develop strategic and annual audit plans
- Apply risk-based auditing techniques in practice
- Use audit technology and data tools effectively
- Audit complex and high-risk activities
- Deliver value-added audit recommendations

## Target Audience

- Internal Auditors
- Audit Managers
- Risk Management Professionals
- Compliance Officers



# Course content

## Target Audience

- Governance Professionals
- Finance Controllers
- Anyone involved in assurance and control functions

## Target Competencies

- Risk-Based Auditing
- Internal Audit Planning
- Risk Assessment
- Control Evaluation
- Audit Reporting
- Strategic Thinking
- Governance Review
- Performance Analysis

## Course outline

### Day 1: Risk Based Auditing in the Modern Era

- The modern risk-based audit (RBA) approach
- How risk-based audit has changed the face of auditing
- Importance of dealing with audit risks, not only business risks
- RBA and ERM (Enterprise Risk Management)
- ISO 31000 international risk standard
- Auditing strategic risks

### Day 2: Evaluating the ERM Process



# Course content

## Course outline

- Risk workshops
- Other methods of risk identification
- Root cause analysis and Monte Carlo analysis
- Assessment of risk mitigation
- Determining preventative, detective, and corrective controls
- Auditing the ERM process
- Assessing key risk indicators

**Day 3: Risk Based Audit Planning**

- The internal audit strategy
- The audit universe
- Preparing a strategic audit plan
- Risk-based audit KPIs
- Developing annual audit plans
- Use of audit technology (CAATs)

**Day 4: Risk Based Auditing in Practice**

- Planning a risk-based audit and initial brainstorming
- The risk-based audit step by step
- The RBA programme worked example
- RBA deliverables
- Monitoring action plans
- Determining audit risk and control assessment

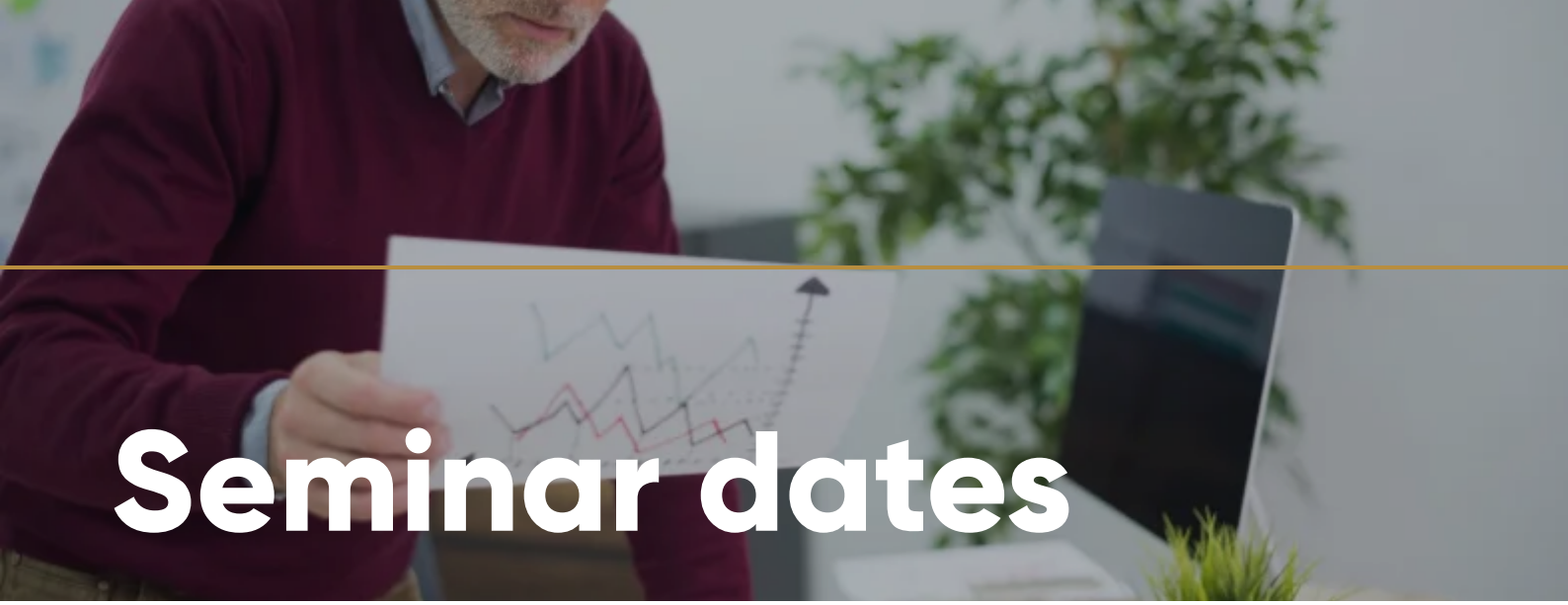
**Day 5: Risk Based Audits of Complex Activities**



# Course content

## Course outline

- Auditing brand and reputation
- Risk-based audit of projects
- Reviewing joint ventures
- Environmental auditing
- Auditing the marketing function
- Auditing performance management



# Seminar dates

## Available seminar dates

Live dates and pricing for Risk-Based Auditing generated from the course details page.

| Date | Location | Format | Fee |
|---|---|---|---|
| 16 - 20 November 2026 | Amsterdam - Netherlands | Classroom | **€3,400.-** |
| 7 - 11 December 2026 | London - U.K | Classroom | **€3,080.-** |
| 14 - 18 December 2026 | Barcelona - Spain | Classroom | **€3,400.-** |
| 21 - 25 December 2026 | Kuala lumpur - Malaysia | Classroom | **€2,280.-** |
| 4 - 8 January 2027 | Barcelona - Spain | Classroom | **€3,080.-** |
| 1 - 5 February 2027 | Istanbul - Turkey | Classroom | **€2,280.-** |
| 1 - 5 March 2027 | Amsterdam - Netherlands | Classroom | **€3,400.-** |
| 5 - 9 April 2027 | Istanbul - Turkey | Classroom | **€2,280.-** |
| 3 - 7 May 2027 | Paris - France | Classroom | **€3,600.-** |
| 7 - 11 June 2027 | Barcelona - Spain | Classroom | **€3,080.-** |
| 5 - 9 July 2027 | Munich - Germany | Classroom | **€3,400.-** |
| 2 - 6 August 2027 | Kuala lumpur - Malaysia | Classroom | **€1,800.-** |
| 6 - 10 September 2027 | Amsterdam - Netherlands | Classroom | **€3,400.-** |
| 4 - 8 October 2027 | London - U.K | Classroom | **€3,360.-** |
| 1 - 5 November 2027 | Paris - France | Classroom | **€3,600.-** |
| 6 - 10 December 2027 | Istanbul - Turkey | Classroom | **€2,280.-** |