informatech



AUDITING AND GOVERNANCE RISK AND COMPLIANCE | AGRC-007

Risk-Based Auditing

UK
+44 33 000 111 90
info@informatech.co.uk
https://informatech.co.uk
63-66 Hatton Garden Hatton Garden
EC1N 8LE , London

NL
+31 85 74 444 46
info@infomatech.nl
https://infomatech.nl
Waarderweg 50 - 2031PB
Haarlem - Netherlands



Course content

Why Attend

Why Attend Risk-based auditing enables organizations to focus audit resources on the areas of highest risk and greatest value. Instead of reviewing activities in isolation, modern auditors are expected to assess strategic, operational, financial, and compliance risks while supporting stronger governance and decision-making. This course provides participants with practical tools to develop risk-based audit plans, evaluate enterprise risk management processes, and conduct effective audits of complex business activities.

Course Methodology This course uses an interactive and practical approach through presentations, case studies, audit workshops, risk assessments, group discussions, practical exercises, and real workplace examples.

Course Objectives

- Understand modern risk-based auditing principles
- Link internal audit with enterprise risk management
- Evaluate risk management processes and controls
- Develop strategic and annual audit plans
- Apply risk-based auditing techniques in practice
- Use audit technology and data tools effectively
- Audit complex and high-risk activities
- Deliver value-added audit recommendations

Target Audience

- Internal Auditors
- Audit Managers
- Risk Management Professionals
- Compliance Officers



Course content

Target Audience

- Governance Professionals
- Finance Controllers
- Anyone involved in assurance and control functions

Target Competencies

- Risk-Based Auditing
- Internal Audit Planning
- Risk Assessment
- Control Evaluation
- Audit Reporting
- Strategic Thinking
- Governance Review
- Performance Analysis

Course outline

Day 1: Risk Based Auditing in the Modern Era

- The modern risk-based audit (RBA) approach
- How risk-based audit has changed the face of auditing
- Importance of dealing with audit risks, not only business risks
- RBA and ERM (Enterprise Risk Management)
- ISO 31000 international risk standard
- Auditing strategic risks

Day 2: Evaluating the ERM Process



Course content

Course outline

- Risk workshops
- Other methods of risk identification
- Root cause analysis and Monte Carlo analysis
- Assessment of risk mitigation
- Determining preventative, detective, and corrective controls
- Auditing the ERM process
- Assessing key risk indicators

Day 3: Risk Based Audit Planning

- The internal audit strategy
- The audit universe
- Preparing a strategic audit plan
- Risk-based audit KPIs
- Developing annual audit plans
- Use of audit technology (CAATs)

Day 4: Risk Based Auditing in Practice

- Planning a risk-based audit and initial brainstorming
- The risk-based audit step by step
- The RBA programme worked example
- RBA deliverables
- Monitoring action plans
- Determining audit risk and control assessment

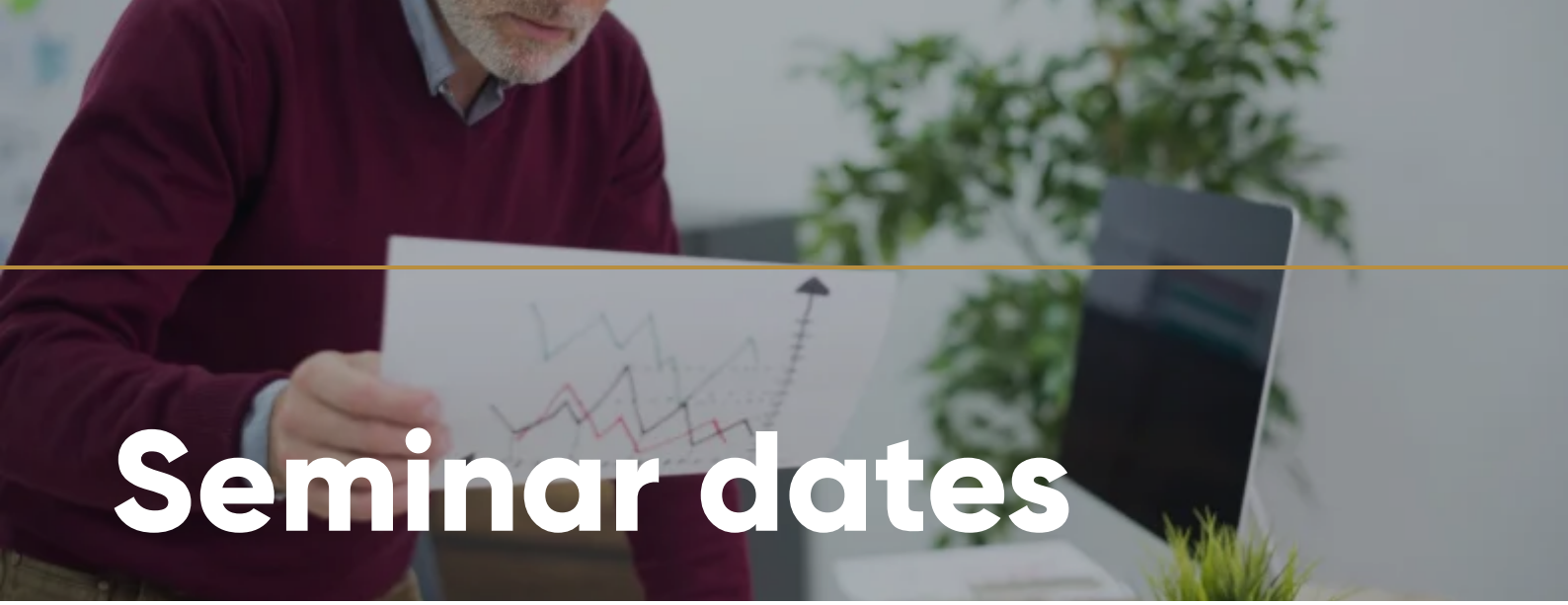
Day 5: Risk Based Audits of Complex Activities



Course content

Course outline

- Auditing brand and reputation
- Risk-based audit of projects
- Reviewing joint ventures
- Environmental auditing
- Auditing the marketing function
- Auditing performance management



Seminar dates

Available seminar dates

Live dates and pricing for Risk-Based Auditing generated from the course details page.

Date	Location	Format	Fee
9 - 13 November 2026	Istanbul - Turkey	Classroom	**€3,360.-**
16 - 20 November 2026	Amsterdam - Netherlands	Classroom	**€3,400.-**
7 - 11 December 2026	London - U.K	Classroom	**€3,080.-**
14 - 18 December 2026	Barcelona - Spain	Classroom	**€3,400.-**
21 - 25 December 2026	Kuala lumpur - Malaysia	Classroom	**€2,280.-**
4 - 8 January 2027	Barcelona - Spain	Classroom	**€3,080.-**
1 - 5 February 2027	Istanbul - Turkey	Classroom	**€2,280.-**
1 - 5 March 2027	Amsterdam - Netherlands	Classroom	**€3,400.-**
5 - 9 April 2027	Istanbul - Turkey	Classroom	**€2,280.-**
3 - 7 May 2027	Paris - France	Classroom	**€3,600.-**
7 - 11 June 2027	Barcelona - Spain	Classroom	**€3,080.-**
5 - 9 July 2027	Munich - Germany	Classroom	**€3,400.-**
2 - 6 August 2027	Kuala lumpur - Malaysia	Classroom	**€1,800.-**
6 - 10 September 2027	Amsterdam - Netherlands	Classroom	**€3,400.-**
4 - 8 October 2027	London - U.K	Classroom	**€3,360.-**
1 - 5 November 2027	Paris - France	Classroom	**€3,600.-**
6 - 10 December 2027	Istanbul - Turkey	Classroom	**€2,280.-**