



GOVERNMENT AND PUBLIC SECTOR | GPS-009

## Governance of Regulators

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# Course content

## Why Attend

Governance is a critical topic that has been attracting serious attention for two decades in business schools and among legislatures, with this trend only increasing. While there are different types of regulators, they all aim to govern and deal with stakeholders. This course covers regulators' independence, decision-making, accountability, inspection and audit, and monitoring and enforcing regulations. It also explains the different types of regulators and different regulators' structures and authorities. Other topics discussed are the role of regulators and the required transparency.

The course is built on presentations by the instructor and the participants and includes exercises and case studies to be discussed during the course.

By the end of the course, participants will be able to:

- Explain the different types of regulators and the regulators' principles
- Examine the independence of different regulators
- Appraise decision-making and accountability practices by regulators
- Evaluate transparency and efficiency of regulators
- Support monitoring and enforcement of regulations

Board members, chief financial officers, senior management, directors, finance managers, financial controllers, accounting and finance personnel, legal counsel, corporate legal advisors, corporate secretaries, lawyers, external and internal auditors, HR managers, and department heads. All employees and managers of regulators like capital market authorities, central banks and other governmental and non-governmental authorities and agencies.

- Structuring of regulators
- Analyzing regulator efficiency
- Assessing decision-making
- Defending the regulator's point of view
- Explaining the role of regulator
- Evaluating regulator independence



# Course content

## Why Attend

- Identifying stakeholders' rights

## Course outline

### Regulators' Types and Principles

- Regulators' types
- International bodies
- Ministries
- Authorities and agencies
- Self-regulatory organizations
- Regulators' principles
- Independence
- Accountability
- Transparency

### Regulators' Independence

- Regulators' funding
- Regulators' independence
- Special interest groups
- Semi-governmental regulator
- Self-regulatory organizations
- Decreasing the influence of special interest groups
- Maintaining trust with stakeholders



# Course content

## Course outline

### Decision-Making and Accountability

- Decision-making
- Regulator structure
- Authority and decisions
- Accountability
- Engaging stakeholders in decisions
- Engaging related authorities
- Engaging stakeholders

### Transparency and Evaluation

- Transparency required
- Governmental or semi-governmental regulators
- Nongovernmental regulators
- Evaluation of efficiency
- Financial performance
- Nonfinancial performance

### Monitoring and Enforcement of Regulations

- Monitoring regulated entities
- Monitoring implementation of requirements
- Monitoring operational and financial results
- Monitoring whistle-blowing and complaints
- Auditing and inspecting



# Course content

## Course outline

- Deviations
- Whistleblower information
- Enforcement of regulations

# Seminar dates

## Available seminar dates

Live dates and pricing for Governance of Regulators generated from the course details page.

| Date                   | Location                | Format    | Fee      |
|------------------------|-------------------------|-----------|----------|
| 14 - 18 September 2026 | Barcelona - Spain       | Classroom | €3,080.- |
| 5 - 9 October 2026     | Frankfurt - Germany     | Classroom | €2,600.- |
| 16 - 20 November 2026  | Rome - Italy            | Classroom | €3,400.- |
| 7 - 11 December 2026   | Kuala lumpur - Malaysia | Classroom | €1,800.- |
| 11 - 15 January 2027   | Barcelona - Spain       | Classroom | €3,080.- |
| 8 - 12 February 2027   | Paris - France          | Classroom | €3,600.- |
| 8 - 12 March 2027      | Frankfurt - Germany     | Classroom | €2,600.- |
| 12 - 16 April 2027     | Barcelona - Spain       | Classroom | €3,080.- |
| 10 - 14 May 2027       | Frankfurt - Germany     | Classroom | €2,600.- |
| 14 - 18 June 2027      | Rome - Italy            | Classroom | €3,400.- |
| 12 - 16 July 2027      | Kuala lumpur - Malaysia | Classroom | €1,800.- |
| 9 - 13 August 2027     | Barcelona - Spain       | Classroom | €3,080.- |
| 13 - 17 September 2027 | Paris - France          | Classroom | €3,600.- |
| 11 - 15 October 2027   | Frankfurt - Germany     | Classroom | €2,600.- |
| 8 - 12 November 2027   | Barcelona - Spain       | Classroom | €3,080.- |
| 13 - 17 December 2027  | Frankfurt - Germany     | Classroom | €2,600.- |